

# Fiscal Updates Title I and ESSA

- Risk Assessment Analysis
- New NOGA Language
- Amendment Process

## Risk Assessment Analysis

- □ Title I Part A Grant:
  - Subject to a Pre-award Assessment

 Required in the Federal Uniform Grants Guidance

Identified Risk Levels (1 – 5)

Subject to Additional Conditions



#### **Risk Assessment Indicators**

- 1. How many open grant lines/funding are in the grantee's portfolio?
- 2. What is the total amount of the funding listed above?
- 3. The agency is receiving a new grant they have not been subgrantees for in the past- new complexity (NEW)
- 4. High level of complexity in administering the grant
- 5. Application and Award Required Documents
- 6. Delayed Implementation
- 7. Unallowable Actions and Expenditures (including changes in key personnel) only possible rating is 5.
- 8. Late Reporting Reports, Amendments, Deliverables (not including application and award signed documents noted earlier)
- 9. Low Spend-down Rate- only possible rating is 2, 3, or 4.

- 1. Refund Due- Under consideration, not rating outcomes at this time.
- 2. External Complaints
- 3. Audit Findings
- 4. Programmatic Review Required Actions- MSDE monitoring
- 5. Programmatic Change Requests (change in scope of project or objectives)
- Program Deliverables (i.e. goals, objectives, and outcomes)new not rating outcomes at this time
- 7. Change in Management
- 8. Use of Subcontractors/Vendors
- 9. Illegal Activity- only possible rating is 5.



## NOGA Language Title I Part A

The grant period ends **September 30**, **2019.** Funds must be obligated by September 30, 2019 and liquidated by December 31, 2019. Grants cannot be extended, and the Annual Financial Report must be submitted no later than November 30, 2019.

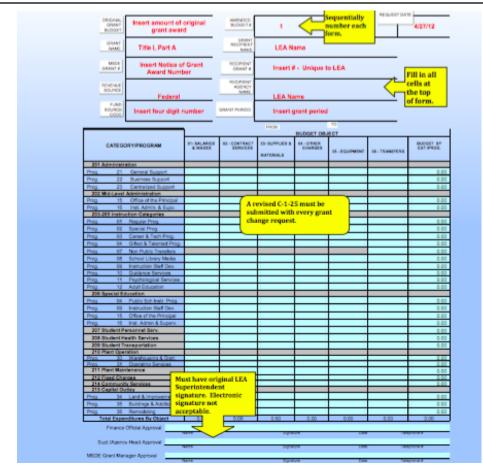


#### **Grant Amendment**

- Change in Grant Period
- Reallocate funds to a different category/program and or object
- Increase/decrease the amount of grant
- Programmatic changes due to change of the grant purpose
- Other (i.e. change of grant manager)



### C-1-25





#### **Amendment Hints**

- Grant Amendment Forms are on the MSDE website
- Review forms to ensure all information is complete
- Submit all three forms C-1-25, C-1-25A
   and C-1-25B
- Final budget amendment is submitted 45 days before the end of the grant period

### **Questions**



PREPARING WORLD CLASS STUDENTS

#### **Contact Us!**

- Dr. Marcia Sprankle, Director
  - marcia.sprankle@maryland.gov
  - 410-767-0321
- □ Dr. Gail Clark Dickson, Section Chief
  - gail.dickson@maryland.gov
  - 410-767-5153

