

# Fiscal Updates Title I and ESSA

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- Risk Assessment Analysis
  - New NOGA Language
  - Amendment Process

# Risk Assessment Analysis

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- Title I Part A Grant:
  - Subject to a Pre-award Assessment
  - Required in the Federal Uniform Grants Guidance
  - Identified Risk Levels (1 – 5)
  - Subject to Additional Conditions

# Risk Assessment Indicators

1. How many open grant lines/funding are in the grantee's portfolio?
2. What is the total amount of the funding listed above?
3. The agency is receiving a new grant they have not been subgrantees for in the past- new complexity (NEW)
4. High level of complexity in administering the grant
5. Application and Award Required Documents
6. Delayed Implementation
7. Unallowable Actions and Expenditures (including changes in key personnel) - only possible rating is 5.
8. Late Reporting - Reports, Amendments, Deliverables (not including application and award signed documents noted earlier)
9. Low Spend-down Rate- only possible rating is 2, 3, or 4.

1. Refund Due- Under consideration, not rating outcomes at this time.
2. External Complaints
3. Audit Findings
4. Programmatic Review Required Actions- MSDE monitoring
5. Programmatic Change Requests (change in scope of project or objectives)
6. Program Deliverables (i.e. goals, objectives, and outcomes)- new not rating outcomes at this time
7. Change in Management
8. Use of Subcontractors/Vendors
9. Illegal Activity- only possible rating is 5.

# NOGA Language Title I Part A

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The grant period ends **September 30, 2019**. Funds must be obligated by September 30, 2019 and liquidated by December 31, 2019. Grants cannot be extended, and the Annual Financial Report must be submitted no later than November 30, 2019.

# Grant Amendment

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- ~~❑ Change in Grant Period~~
- ❑ Reallocate funds to a different category/program and or object
- ❑ Increase/decrease the amount of grant
- ❑ Programmatic changes due to change of the grant purpose
- ❑ Other (i.e. change of grant manager)

# C-1-25

**ORIGINAL GRANT BUDGET #** Insert amount of original grant award

**GRANT NAME** Title I, Part A

**MADE GRANT #** Insert Notice of Grant Award Number

**REVENUE SOURCE** Federal

**FUND SOURCE CODE** Insert four digit number

**AMENDED BUDGET #** 1

**GRANT REQUEST NO.** LEA Name

**RECIPIENT GRANT #** Insert # - Unique to LEA

**RECIPIENT AGENCY NAME** LEA Name

**GRANT PERIOD** Insert grant period

**REQUEST DATE** 4/27/12

Sequentially number each form.

Fill in all cells at the top of form.

CATEGORY/PROGRAM	BUDGET OBJECT						BUDGET BY CAT.PROG.
	01 - SALARIES & WAGES	02 - CONTRACT SERVICES	03 - SUPPLIES & MATERIALS	04 - OTHER CHARGES	05 - EQUIPMENT	06 - TRANSFERS	
<b>201 Administration</b>							
Prog 21 General Support							\$ 00
Prog 22 Business Support							\$ 00
Prog 23 Centralized Support							\$ 00
<b>202 Mid-Level Administration</b>							
Prog 15 Office of the Principal							\$ 00
Prog 16 Inst. Adm. & Supp.							\$ 00
<b>203-208 Instruction Categories</b>							
Prog 65 Regular Prog.							\$ 00
Prog 66 Special Prog.							\$ 00
Prog 68 Career & Tech Prog.							\$ 00
Prog 69 Gifted & Talented Prog.							\$ 00
Prog 67 Non Public Transfer							\$ 00
Prog 69 School Library Media							\$ 00
Prog 69 Instruction Staff Dev.							\$ 00
Prog 70 Guidance Services							\$ 00
Prog 14 Psychological Services							\$ 00
Prog 12 Adult Education							\$ 00
<b>209 Special Education</b>							
Prog 66 Public Sub Inst. Prog.							\$ 00
Prog 69 Instruction Staff Dev.							\$ 00
Prog 15 Office of the Principal							\$ 00
Prog 16 Inst. Adm. & Supp.							\$ 00
<b>207 Student Personal Serv.</b>							
<b>208 Student Health Services</b>							
<b>209 Student Transportation</b>							
<b>210 Plant Operation</b>							
Prog 30 Transportation & Maint.							\$ 00
Prog 71 Diagnostic Services							\$ 00
<b>211 Plant Maintenance</b>							
<b>212 Food Services</b>							
<b>214 Community Services</b>							
<b>215 Capital Outlay</b>							
Prog 36 Land & Improvements							\$ 00
Prog 38 Buildings & Facilities							\$ 00
Prog 39 Remodeling							\$ 00
<b>Total Expenditures By Object</b>	1,000	0.00	0.00	0.00	0.00	0.00	0.00

A revised C-1-25 must be submitted with every grant change request.

Must have original LEA Superintendent signature. Electronic signature not acceptable.

Finance Officer Approval: \_\_\_\_\_

Supt./Agency Head Approval: \_\_\_\_\_

MDOE Grant Manager Approval: \_\_\_\_\_

# Amendment Hints

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- ❑ Grant Amendment Forms are on the MSDE website
- ❑ Review forms to ensure all information is complete
- ❑ Submit all three forms C-1-25, C-1-25A and C-1-25B
- ❑ Final budget amendment is submitted 45 days before the end of the grant period

# Questions

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# Contact Us!

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